

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/710,203	11/09/2000	Hideki Koike	LEXW116493

26389

CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

## FORMALITIES LETTER



\*OC000000005658895\*

Date Mailed: 01/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

Adjustment date: 04/23/2001 NHASSANI  
02/26/2001 SSESHE1 00000052 09710203  
02 FC:203 -135.00 DP

04/23/2001 NHASSANI 00000008 09710203 *A copy of this notice MUST be returned with the reply.*

01 FC:203 18.00 DP

Customer Service Center

Repln. Ref: 04/23/2001 NHASSANI 0009394800  
Initial Patent Examination Division (703) 308-1202

DAH:031740 \$180.00 CR PART 2 - COPY TO BE RETURNED WITH RESPONSE  
FC: 704

00 00 00 00

355.00

198.00

135.00

65.00

02/26/2001 SSESHE1 00000052 09710203

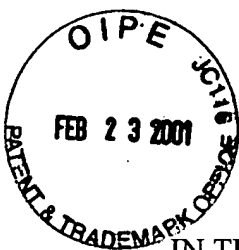
01 FC:201

02 FC:203

03 FC:204

04 FC:205





Section 8  
BOX #3  
MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. Koike et al. Attorney Docket No: LEXW116493  
Application No: 09/710,203 Group Art Unit: 2131  
Filed: November 9, 2000 Examiner:  
Title: LOG FILE PROTECTION SYSTEM

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
PRELIMINARY AMENDMENT

Seattle, Washington 98101

February 20, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted Herewith are the Following:

- X 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- X 2. Small entity status is asserted for this matter.
- X 3. A preliminary amendment is submitted herewith.
- X 4. A copy of Form PTO-1533 (Notice to File Missing Parts).

**COMPUTATION OF FEE**

	Number Filed	Number Extra	Rate	Basic Fee 355.00
Total Claims	42 - 20	= 22	x 9.00	= 198.00
Independent Claims	1 - 3	= 0	x 40.00	= 0.00
Multiple Dependent Claims	---	---	135.00	135.00

Total Filing Fee	688.00
Surcharge Fee (65.00)	65.00
<b>TOTAL</b>	<b>\$753.00</b>

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

B. Enclosed is our Check No. 125523 in the amount of \$753.00 to cover the missing parts fee.

C. Additional Fee Charges or Credit for Overpayment:

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this sheet is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Adam L.K. Philipp  
Registration No. 42,071  
Direct Dial No. 206.695.1792

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: 2/20/01



ALP:jlj

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100